

01/04/02

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

3781-002-27

First Inventor or Application Identifier

Yong Liang CHU

Title

COMPOUNDS FOR DELIVERING SUBSTANCES INTO CELLS

JC832 U.S. PTO

10/035223

01/04/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **31**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **29**
4. ☒ Oath or Declaration Total Pages **3**
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

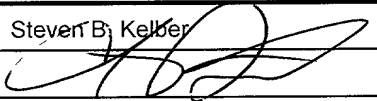
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

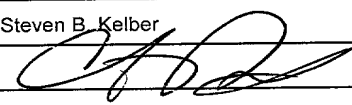
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☐ This application claims priority of provisional application Serial No. Filed**18. CORRESPONDENCE ADDRESS**Supervisor, Patent Prosecution Services
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	JAN. 4, 2002
Name	Christopher W. Raimund	Registration No.	47,258

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FEE TRANSMITTAL				Docket No.		3781-002-27	
				Serial No.		New Application	
				Filing Date		Herewith	
				Inventor(s)		Yong Liang CHU, et al.	
				Group Art Unit			
TOTAL AMOUNT OF PAYMENT			\$554.00		Examiner		

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						FEE CALCULATION (continued)									
						3. ADDITIONAL FEES									
						Large Entity		Small Entity		Fee Description					
						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid				
FEE CALCULATION						105	130	205	65	Surcharge-late filing fee or oath					
1. BASIC FILING FEE						127	50	227	25	Surcharge-late provisional filing fee or cover sheet					
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	147	2520	147	2520	Ex parte reexam fee					
150	740	201	370	Utility filing fee	\$370.00	115	110	215	55	1-mo ext of time					
106	330	206	165	Design filing fee		116	400	216	200	2-mo ext of time					
107	510	207	255	Plant filing fee		117	920	217	460	3-mo ext of time					
108	740	208	370	Reissue filing fee		118	1440	218	720	4-mo ext of time					
144	160	214	80	Provisional filing fee		128	1960	228	980	5-mo ext of time					
SUBTOTAL (1)					\$370.00	119	320	219	160	Notice of Appeal					
2. EXTRA CLAIM FEES						120	320	220	160	Appeal Brief					
tot. claims	36	-	20*	=	16	x	\$9	=	144	121	280	221	140	Request for Oral Hearing	
ind. claims	1	-	3*	=	0	x	\$42	=	0	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims				\$140	=					143	460	243	230	Design Issue Fee	
Large Entity		Small Entity		Fee Description		144	620	244	310	Plant Issue Fee					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122	130	Petitions to the Commissioner					
103	18	203	9	Claims in excess of 20		126	180	126	180	IDS Submission					
102	84	202	42	Independent claims in excess of 3		581	40	581	40	Assignment	\$40.00				
104	280	204	140	Multiple dependent claim, if not paid		179	740	279	370	For Filing RCE					
109	84	209	42	*Reissue independent claims over original patent		169	900	169	900	Expedited Design					
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below)									
SUBTOTAL (2)					\$144.00										
* or number previously paid, if greater, For Reissues, see above						SUBTOTAL (3)				\$40.00					

Name	Steven B. Kelber	Registration No.	30,073	
Signature		Date	JAN. 4, 2002	Telephone
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